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# NOTICE OF ALLOWANCE AND FEE(S) DUE

44654

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06/08/2009

SPRINKLE IP LAW GROUP 1301 W. 25TH STREET SUITE 408 AUSTIN, TX 78705

| EXAMINER     |              |  |  |  |
|--------------|--------------|--|--|--|
| HAMZA, FARUK |              |  |  |  |
| ART UNIT     | PAPER NUMBER |  |  |  |
| 2455         |              |  |  |  |

DATE MAILED: 06/08/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/064.080      | 06/10/2002  | Stephen G. Dale      | CROSS1490           | 7094             |

TITLE OF INVENTION: SYSTEM AND METHOD FOR INQUIRY CACHING

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$0                 | \$0                  | \$1510           | 09/08/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 44654 7590 06/08/2009 Certificate of Mailing or Transmission SPRINKLE IP LAW GROUP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 1301 W. 25TH STREET SUITE 408 AUSTIN, TX 78705 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/064-080 06/10/2002 Stephen G. Dale CROSS1490 7094 TITLE OF INVENTION: SYSTEM AND METHOD FOR INQUIRY CACHING APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$0 \$0 \$1510 09/08/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS HAMZA, FARUK 2455 709-223000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| APPLICATION NO.               | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.            | CONFIRMATION NO. |
|-------------------------------|----------------|----------------------|--------------------------------|------------------|
| 10/064,080                    | 06/10/2002     | Stephen G. Dale      | CROSS1490                      | 7094             |
| 44654 73                      | 590 06/08/2009 |                      | EXAM                           | INER             |
| SPRINKLE IP LAW GROUP         |                | HAMZA, FARUK         |                                |                  |
| 1301 W. 25TH ST               | REET           |                      | ART UNIT                       | PAPER NUMBER     |
| SUITE 408<br>AUSTIN, TX 78705 |                |                      | 2455<br>DATE MAILED: 06/08/200 | 9                |

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 741 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 741 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

|  | Application No.  | Applicant(s)   |
|--|--|--|
|  | 10/064.080   | DALE ET AL.  |
| Notice of Allowability   | Examiner   | Art Unit   |
|  |  |  |
|  | FARUK HAMZA  | 2455   |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI | (OR REMAINS) CLOSED in this ap<br>or other appropriate communication<br>GHTS. This application is subject to | plication. If not included n will be mailed in due course. <b>THIS</b> |
| 1. X This communication is responsive to <u>communication filed c</u>  | <u>on 03/26/09</u> .   |  |
| 2. The allowed claim(s) is/are <u>1-4, 6, 8, 11-13, 15, 22-24, 26-</u>   | 28 and 30-34, renumbered as 1-21.  |  |
| <ul><li>3. ☐ Acknowledgment is made of a claim for foreign priority ur</li><li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li></ul>   | nder 35 U.S.C. § 119(a)-(d) or (f).  |  |
| <ol> <li>Certified copies of the priority documents have</li> </ol>  | been received.   |  |
| 2.   Certified copies of the priority documents have   | been received in Application No  |  |
| 3. Copies of the certified copies of the priority do   | cuments have been received in this   | national stage application from the                                    |
| International Bureau (PCT Rule 17.2(a)).   |  |  |
| * Certified copies not received:   |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  |  | complying with the requirements  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give  |  |  |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus  | et be submitted.   |  |
| (a) ☐ including changes required by the Notice of Draftspers   |  | -948) attached   |
| 1) hereto or 2) to Paper No./Mail Date   | - '  | ,  |
| (b) ☐ including changes required by the attached Examiner's  |  | Office action of   |
| Paper No./Mail Date  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the   |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo-<br>attached Examiner's comment regarding REQUIREMENT  |  |  |
|  |  |  |
| Attachment(s)  | 5 Distinct of Informacia   | Detaut Amuliantian   |
| 1. Notice of References Cited (PTO-892)  | 5. Notice of Informal F  |  |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6.  ☐ Interview Summary<br>Paper No./Mail Da   |  |
| 3. ☑ Information Disclosure Statements (PTO/SB/08),  | 7. 🔲 Examiner's Amendi   | ment/Comment   |
| Paper No./Mail Date <u>05/14/09</u> 4. ☐ Examiner's Comment Regarding Requirement for Deposit  | 8 M Evaminaria Statem  | ant of Pageons for Allowance   |
| of Biological Material   |  | ent of Reasons for Allowance   |
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## **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

- 2. Authorization for this examiner's amendment was given in an interview with attorney of record Katharina Schuster (Reg. No 50,000) on June 01, 2009.
- **3.** The application has been amended as follows:

## a) In the Claims:

1. (Currently Amended) A method for caching information about devices on a network, the method comprising:

storing static or near-static data about a target device in a cache memory coupled to a router, wherein the router is communicatively coupled to the target device, wherein the target device is a sequential device, wherein the static or near-static data about the target device comprises SCSI response data from the target device, and wherein the router and the target device reside on the network;

receiving a request for the static or near-static data about the target device; reading the static or near-static data about the target device from the cache memory coupled to the router;

determining whether the target device is busy; and

if the target device is busy, providing at least a portion of the corresponding stored static or near-static data about the target device in response to the request to prevent failure caused by the target device not being able to respond within a predetermined amount of time, and, if the target device is not busy, providing the static or near-static data about the target device returned by the target device.

Claim 5 is canceled.

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6. (Currently Amended) The method of claim [[5]]1, wherein if the target device is not busy, <u>further comprising storing</u> the static or near-static data about the target device that is returned by the target device in response to the request is stored in the cache memory in place of previously stored data about the target device.

8. (Currently Amended) The A method of claim 1 for caching information about devices on a network, further comprising:

storing static or near-static data about each of a plurality of target devices[[,]] in a cache memory coupled to a router, wherein the router is communicatively coupled to the plurality of target devices, wherein the plurality of target devices are sequential devices, wherein the static or near-static data about each of the plurality of target devices comprises SCSI response data from each of the plurality of target devices, and wherein the router and the plurality of target devices reside on the network;

receiving from one or more hosts coupled to the router one or more requests for the static or near-static data about one or more of the plurality of target devices[[,]]; determining whether the one or more of the plurality of target devices are busy; and[[,]]

for each of the one or more of the plurality of target devices that is busy, returning at least a portion of the corresponding stored static or near-static data about the corresponding target device, and, for each of the one or more of the plurality of target devices that is not busy, returning the corresponding static or near-static data about the corresponding target device returned by the corresponding target device.

11. (Currently Amended) A device comprising:

a router configured to route data between one or more hosts and one or more target devices, wherein the one or more target devices are sequential devices; and a cache memory coupled to the router;

wherein the router is configured to:

store static or near-static data about each of the one or more target devices received from in the one or more target devices cache memory coupled to the router, wherein the static or near-static data about each of the one or more target devices comprises SCSI response data from the one or more target devices[[,]];

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determine whether the one or more target devices is or are busy; and[[,]] where a for each of the one or more target devices that is busy, [[to]] provide at least a portion of the corresponding stored static or near-static data about the target device in response to a request for the static or near-static data about the target device to prevent failure caused by the target device not being able to respond within a predetermined amount of time, and, for each of the one or more of target devices that is not busy, provide the corresponding static or near-static data about the target device returned by the target device.

13. (Currently Amended) The device of claim 11, wherein the router is configured to: detect a request for the static or near-static data about the target device as the request is routed from a host to the target device; and

copy<del>ing</del> the static or near-static data about the target device which is returned by the target device in response to the request.

Claim 14 is canceled.

15. (Currently Amended) The device of claim [[14]]11, wherein, if the target device is not busy, the router is configured to store the static or near-static data about the target device returned by the target device in response to the request in the cache memory in place of previously stored static or near-static data about the target device.

Claim 17 is canceled.

22. (Currently Amended) A computer readable storage medium, wherein the computer readable storage medium contains one or more instructions which are executable by a processor to cause a computer to perform:

storing static or near-static data about a target device in a cache memory coupled to a router, wherein the router is communicatively coupled to the target device, wherein the target device is a sequential device, wherein the static or near-static data about the target device comprises SCSI response data from the target device, and wherein the router and the target device reside on the network;

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receiving a request for the static or near-static data about the target device; reading the static or near-static data about the target device from the cache memory coupled to the router;

determining whether the target device is busy; and

if the target device is busy, providing the <u>stored</u> static or near-static data about the target device in response to the request to prevent failure caused by the target device not being able to respond within a predetermined amount of time, <u>and</u>, if the <u>target device</u> is not busy, providing the static or near-static data about the target device returned by the target device.

24. (Currently Amended) The method of claim 23, wherein the identification information specific to the target device includes a serial number of the target device, a configuration of the target device, or a combination thereof.

Claim 25 is canceled.

- 26. (Currently Amended) The method of claim 1, wherein the static or near-static data includes an indicator indicating that whether the target device is able or unable to respond.
- 28. (Currently Amended) The device of claim 27, wherein the identification information specific to the target device includes a serial number of the target device, a configuration of the target device, or a combination thereof.

Claim 29 is canceled.

- 30. (Currently Amended) The device of claim 11, wherein the static or near-static data includes an indicator indicating whether the target device is <u>able or unable</u> to respond.
- 31. (New) The method of claim 8, further comprising collecting the static or near-static data about each of the plurality of target devices prior to storing the static or near-static data in the cache memory coupled to the router.
- 32. (New) The method of claim 31, wherein collecting the static or near-static data about each of the plurality of target devices comprises detecting the static or near-static

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data about a target device as the static or near-static data is transmitted from the target device to a requesting host device.

- 33. (New) The method of claim 31, wherein collecting the static or near-static data about each of the plurality of target devices comprises detecting a request for the static or near-static data about a target device as the request is routed from a host to the target device and copying the static or near-static data about the target device which is returned by the target device in response to the request.
- 34. (New) The method of claim 8, further comprising: storing the static or near-static data that is returned by a target device in the cache memory in place of previously stored data about the target device.

#### REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: Claims 1-4, 6, 8, 11-13, 15, 22-24, 26-28 and 30-34 are allowable over the prior art of record.

The examiner had found that the prior art of record does not teach or suggest or render obvious "A method for caching information about devices on a network, the method comprising: storing static or near-static data about a target device in a cache memory coupled to a router, wherein the router is communicatively coupled to the target device, wherein the target device is a sequential device, wherein the static or near-static data about the target device comprises SCSI response data from the target device; determining whether the target device is busy; and if the target device is busy, providing at least a portion of the corresponding stored static or near-static data about the target device in

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response to the request to prevent failure caused by the target device not being able to respond within a predetermined amount of time, and, if the target device is not busy, providing the static or near-static data about the target device returned by the target device" as in claim 1, 8, 11 and 22.

- Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance or Examiner Amendment."
- Any inquiry concerning this communication or earlier communications from the examiner should be directed to Faruk Hamza whose telephone number is 571-272-7969. The examiner can normally be reached on Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Saleh Najjar can be reached at 571-272-4006. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 886-217-9197 (toll -free).

Art Unit: 2455

Faruk Hamza

Patent Examiner

Group Art Unite 2455

/saleh najjar/ Supervisory Patent Examiner, Art Unit 2455